

3-14-06

IFW 1632

PTO/SB/21 (09-04)

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TRANSMITTAL FORM

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Total Number of Pages in This Submission

10

Application Number

10/537,520

Filing Date

September 1, 2005

First Named Inventor

M. Kajino

Art Unit

1632

Examiner Name

tba

Attorney Docket Number

3125 USOP

ENCLOSURES (Check all that apply)

☐

Fee Transmittal Form

☐

Fee Attached

☐

Amendment/Reply

☐

After Final

☐

Affidavits/declaration(s)

☐

Extension of Time Request

☐

Express Abandonment Request

☒

Information Disclosure Statement

☐

Certified Copy of Priority Document(s)

☐

Reply to Missing Parts/
Incomplete Application

☐

Reply to Missing Parts
under 37 CFR 1.52 or 1.53

☐

Drawing(s)

☐

Licensing-related Papers

☐

Petition

☐

Petition to Convert to a
Provisional Application

☐

Power of Attorney, Revocation
Change of Correspondence Address

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Terminal Disclaimer

☐

Request for Refund

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CD, Number of CD(s) _____

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Landscape Table on CD

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After Allowance Communication to TC

☐

Appeal Communication to Board
of Appeals and Interferences

☐

Appeal Communication to TC
(Appeal Notice, Brief, Reply Brief)

☐

Proprietary Information

☐

Status Letter

☒

Other Enclosure(s) (please identify
below):

Return Postcard
PTO Form PTO/SB/08A & PTO/SB/08B
Copies of 10 references
Copy of European Search Report

Remarks

The Commissioner is hereby authorized to charge any additional fees which may be required,
or credit any overpayment to Deposit Account 500799.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

Signature

Elaine M. Ramesh

Printed name

Elaine M. Ramesh

Date

3/13/06

Reg. No.

43,032

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THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/537,520

Art Unit: 1632

Filed: September 1, 2005

Examiner: tba

1st Inventor: M. Kajino

Allowed:

For: 1,3-Benzothiazinone Derivatives, Process
for Producing the Same and Use Thereof

Batch:

Atty. Dkt. No. 3125 USOP

Paper No.:

Information Disclosure Statement

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 23313-1450

Sir:

Pursuant to 37 CFR §1.56, 1.97 and 1.98, Applicants' request consideration of the references listed on the attached form PTO-1449 under §1.97(b).

This statement is being timely filed before the mailing of a first Office Action on the merits. No fee is required for this filing.

Should the Examiner believe that a conference with Applicants' attorney would advance prosecution of this application, the Examiner is respectfully invited to call Applicants' attorney.

Respectfully submitted,

Dated: March 13, 2006

(847) 383-3391

(847) 383-3372

Elaine M. Ramesh
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Mark Chao, Ph.D., Reg. No. 37,293
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Customer No. 23115

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Intellectual Property Department
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Certificate of Mailing under 37 CFR 1.10

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	1	of	1
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Complete if Known

Application Number	10/537,520
Filing Date	September 1, 2005
First Named Inventor	M. Kajino
Art Unit	1632
Examiner Name	tba
Attorney Docket Number	3125 USOP

U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

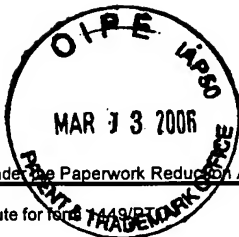
FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁹
		Country Code ³ *Number ⁴ *Kind Code ⁵ (if known)	MM-DD-YYYY			
		WO 03/090782 (Abstract Only)	11-06-2003	Takeda Chemical Industries		✓
		WO 02/44157	06-06-2002	ICONIX Pharmaceuticals, Inc		
		WO 02/18356 (Abstract Only)	03-07-2002	Takeda Chemical Industries		✓
		WO 03/020719 (Abstract Only)	03-13-2003	Takeda Chemical Industries		✓
		JP 3229241 (Abstract Only)	10-11-1991	Fuji Photo Film Co., Ltd.		✓

Examiner Signature		Date Considered	
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PTO/SB/08B (08-03)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	10/537,520
				Filing Date	September 1, 2005
				First Named Inventor	M. Kajino
				Art Unit	1632
				Examiner Name	tba
Sheet	1	of	1	Attorney Docket Number	3125 US0P

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		CONTI, L., et al., "Su Alcune Aril-Cheto-Benzo-M-Tiazine", <u>Bollettino Scientifico Della Facolta Di Chimica Industriale Di Bologna</u> , (1957), Vol. 15, pages 37-39	
		BOURGOIN-LEGAY, D., et al., "Contribution a la Synthese et a l'etude de Benzothiazine-1,3, Etude Chimique Des Composes Obtenus", <u>Bulletin De La Societe Chimique De France</u> , (1969), No. 7, pages 2524-2530	
		SIMCHEN, G., et al., "Synthese von Derivaten der 1,3-Benzothiazine-(4) und Des 1,3-Benzoselenazinons-(4)", <u>Chemische Berichte</u> , (1970), Vol. 103, pages 413-425	
		GADE, T., et al., "Bildung Benzoanellierter Schwefelheterocyclen durch Intramolekulare Kathodische Cyclisierung von Dithiocarbonsaureestern", <u>Chem. Ber.</u> , (1992) Vol. 125, pages 127-141	
		PERKINS, E., et al., "Novel Inhibitors of Poly(ADP-ribose) Polymerase/PARP1 and PARP2 Identified Using a Cell-based Screen in Yeast", <u>Cancer Research</u> , (2001), Vol. 61, pages 4175-4183	

Examiner Signature		Date Considered	
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